

UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/887,510	06/22/2001	Martin James Walker	B-4226 618897-9

CONFIRMATION NO. 5777

FORMALITIES LETTER



OC000000006468128

LADAS & PARRY
Suite 2100
5670 Wilshire Boulevard
Los Angeles, CA 90036-5679

Date Mailed: 08/24/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

01/16/2002 BABRAHA1 00000001 09887510

FILED UNDER 37 CFR 1.53(b)

01 FC:101	740.00 OP
02 FC:105	130.00 OP
03 FC:103	90.00 OP
04 FC:102	84.00 OP

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$170.
 - \$90 for 5 total claims over 20.
 - \$80 for 1 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1010.**

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE



THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Martin James WALKER) Group: 2161
et al.)
Serial No.: 09/887,510) Examiner: Not yet assigned
Filed: June 22, 2001)
For: "LIFE EVENTS MANAGEMENT") Our Ref: B-4226 618897-9
Date: October 23, 2001

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Sir:

NOTICE REGARDING CORRECT NAMES OF INVENTORS

The papers for this application were submitted on June 22, 2001.

At the time of the original submission, the seventh inventor, Dag Tungvaag, was incorrectly listed on the New Application Transmittal Letter as Dag Tungvåg.

As set forth in the executed Declaration/Power of Attorney forms filed concurrently herewith and the enclosed revised New Application Transmittal Letter, the inventors for this application should be listed as:

- | | |
|-------------------------|---------------------------|
| (1) Martin James Walker | (2) Ray Giles |
| (3) Christopher Geary | (4) Christopher Wood |
| (5) Adrian Stock | (6) Robert Kitchen |
| (7) Dag Tungvaag | (8) Christopher Corcoran. |

The misspelling of inventor Dag Tungvaag in the original application papers was a clerical error. A copy of the original New Application Transmittal Letter as filed on June 22, 2001 is also enclosed herewith.

Respectfully submitted,

Ross A. Schmitt
Attorney for the Applicant
Reg. No. 42,529
c/o LADAS & PARRY
5670 Wilshire Boulevard, Suite 2100
Los Angeles, California 90036-5679
Telephone No.: (323) 934-2300
Facsimile No.: (323) 934-0202

Enclosure: Revised New Application Transmittal Letter (3 pages)
copy of original New Application Transmittal Letter as filed on
June 22, 2001 (3 pages)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s):
Martin James WALKER, et al.

Group Art Unit: 2161

Serial No.: 09/887,510

Filed: June 22, 2001

For: "LIFE EVENTS MANAGEMENT"

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Sir:

COMPLETION OF FILING REQUIREMENTS

Responsive to office letter dated 08/24/2001 (copy attached).

DECLARATION OR OATH

- ☒ Please find enclosed the Declaration/Power signed by inventor Adrian Stock.
- ☒ Please find enclosed the Declaration/Power signed by inventors Martin James Walker, Ray Giles, Christopher Wood, Christopher Geary, Robert Kitchen, Christopher Corcoran, and Dag Tungvaag.
- ☒ Please find enclosed a Notice Regarding Correct Names of Inventors
- ☒ Please find enclosed a revised New Application Transmittal Letter listing the correct names of the inventors and a copy of the original New Application Transmittal Letter as filed on June 22, 2001.

AMENDMENT OF CLAIMS

- ☐ Please cancel claims _____ inclusive
- ☐ Please find enclosed an amendment deleting multiple dependencies

NOTE: The filing fees, fees for and surcharge fees listed below are reduced by 50% where proof of a small entity status is established on or before the date the fee is paid. If the full fee is paid but a verified statement is filed within two months of the date of payment of the first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

FEES

- ☒ Filing fee (37 CFR 1.16(a) - 740.00) \$740.00
(small entity - 370.00)
- ☒ Fees for claims
- ☒ 1 Independent claim in excess of 3
(37 CFR 1.16(b) - 84.00) \$ 84.00
(small entity - 42.00)
- ☒ 5 Claims in excess of 20
(37 CFR 1.16(c) - 18.00) \$ 90.00
(small entity - 9.00)

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the:

Commissioner for Patents
United States Patent and Trademark Office
Washington, D.C. 20231

Attn: Customer Service Center
Initial Patent Examination Division

Date: October 23, 2001

Karyn Lao
(Typed or printed name of person mailing paper)

[Signature]
(Signature of person mailing paper)

Completion of Filing Requirements (Page 1 of 2)

☐ Multiple dependent claim(s)
(37 CFR 1.16(d) - 260.00)
(small entity - 130.00)

☒ Surcharge fees

☒ Late payment of filing fee
(37 CFR 1.16(e) - 130.00)
(small entity - 65.00)

AND

☒ Late filing of declaration or oath
(37 CFR 1.16(e) - 130.00) \$130.00
(small entity - 65.00)

☐ Petition fee for filing by person not
the inventor (37 CFR 1.17(h) \$120.00)

☐ Recording assignment
(37 CFR 1.21(h)(i) 40.00)

☐ Attached is an assignment to

Total fees: \$1,044.00

SMALL ENTITY STATUS

☐ A verified statement that this filing is by a small entity is

☐ Attached
W/cover sheet

☐ Already filed on _____

PAYMENT OF FEES

☒ Enclosed is a check in the amount of \$1,044.00.

☐ Charge Account No. 12-0415 in the amount of _____.
A duplicate of this request is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose
the fees are paid. 37 CFR 1.22(b).

AUTHORIZATION TO CHARGE ADDITIONAL FEES

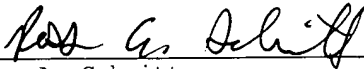
☒ The Commissioner is hereby authorized to charge the following
additional fees which may be required or credit any overpayment to
Account No. 12-0415.

☒ 37 CFR 1.16 (filing fees and presentation of extra claims)

☒ 37 CFR 1.17 (application processing fees)

☐ 37 CFR 1.18 (issue fee at or before mailing of Notice of
Allowance, pursuant to 37 CFR 1.311(b)).

NOTE: 37 CFR 1.28(b) requires "Notification of any change in status resulting in
loss of entitlement to small entity status must be filed in the
application... prior to paying... issue fee."



Ross A. Schmitt
Attorney for the Applicant
Registration No.: 42,529

c/o LADAS & PARRY
5670 Wilshire Boulevard, Suite 2100
Los Angeles, California 90036-5679

Telephone No.: (323) 934-2300
Facsimile No.: (323) 934-0202

Date: June 22, 2001

Commissioner of Patents and Trademarks
Box New Patent Application
Washington, D.C. 20231

Sir:



NEW APPLICATION TRANSMITTAL

COPY

Transmitted herewith for filing is the patent application of

Inventor(s): (1) Martin James Walker (2) Ray Giles
(3) Christopher Geary (4) Christopher Wood
(5) Adrian Stock (6) Robert Kitchen
(7) Dag Tungvåg (8) Christopher Corcoran

NOTE: Patent must be applied for in the name of all
of the actual inventor or inventors.

For: "LIFE EVENTS MANAGEMENT"

Enclosed are:

1. The Papers Required For Filing Date Under 37 CFR 1.53(b):11 Pages of specification 1 Page of abstract 4 Pages of claims5 Sheets of drawings [X] formal [] informal
(Figs. 1-5)

[X] In addition to the above papers there is also attached

Postcard

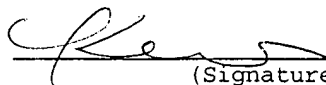
Claim to Priority (1 page) with Certified Copy of
UK Application No. 0015474.0

CERTIFICATION UNDER 37 CFR 1.10

I hereby certify that this paper and the documents referred to as enclosed
herein are being deposited with the United States Postal Service in an Express
mail envelope with sufficient postage for Express Mailing on this date
June 22, 2001 in an envelope as "Express Mail Post Office to Addressee" Mailing
label Number EL896636950US addressed to the:

Commissioner of Patents and Trademarks
Box New Patent Application
Washington, D.C. 20231

Karyn Lao
(Typed or printed name of person mailing paper)


(Signature of person mailing paper)

NOTE: Each paper or fee referred to as enclosed herein
has the number of the "Express Mail" mailing label
placed thereon prior to mailing. 37 CFR 1.10(b).

2. Declaration or oath

☐ Enclosed

☐ original

☐ facsimile

executed by:

☐ inventor(s)

☐ legal representative of inventor(s) 37 CFR 1.42 or 1.43

☐ joint inventor or person showing a proprietary interest on behalf of inventor who refused to sign or cannot be reached. 37 CFR 1.47.

☐ petition and statement required by 37 CFR 1.47 also attached. See item 7 below for fee.

☒ Not Enclosed

☒ Application is made by a person authorized under 37 CFR 1.41(c) on behalf of all of the above named inventor(s). The declaration or oath, along with the surcharge required by 37 CFR 1.16(e) can be filed subsequently.

☐ Showing that the filing is authorized. (Not required unless called into question. 37 CFR 1.41(d)).

NOTE: Where the filing is a completion in the U.S. of an international application under 35 U.S.C. 371(c)(4) then the declaration must be filed.

3. Assignment

☐ An assignment of the invention to

4. Certified Copy

☒ A certified copy of UK Application No. 0015474.0 from which priority is claimed.

NOTE: Must be referred to in oath or declaration. 37 CFR 1.55 and 163.

5. Fee Calculation

CLAIMS		AS FILED		
Number Filed		Number Extra	Rate	Basic Fee \$ 710.00
total Claims	25 -20=	5 x	\$ 18.00	90.00
ndependent Claims	4 -3=	1 x	\$ 80.00	80.00
ultiple Dependent Claim(s), If Any		0 x	\$270.00	0

☐ Amendment canceling extra claims enclosed

☐ Amendment deleting multiple dependencies enclosed

☐ Fee for extra claims is not being paid at this time

NOTE: If the fee for extra claims are not paid on filing they must be paid or the claims canceled by amendment, prior to the expiration of the time period set for response by the Patent and Trademark Office in any notice of fee deficiency, 37 CFR 1.16(d).

Filing Fee Calculation \$ 880.00

6. Small Entity Statement

[] Verified statement that this is a filing by a small entity under 37 CFR 1.9 and 1.27.

Filing Fee Calculation (50% of above) \$ _____

NOTE: If a verified statement is filed within 2 months of the date of payment of first fee then the excess fee paid will be refunded on request. Notice of January 20, 1983. 1027 TMOG 114.

7. Fee Payment Being Made At This Time

[X] Not Enclosed

[X] No filing fee is submitted. This and the surcharge required by 37 CFR 1.16(e) can be paid subsequently.

NOTE: Where the filing is a completion in the U.S. of an international application the fee must be paid.

[] Enclosed

[] filing fee \$ _____

[] recording assignment (\$40.00; 37 CFR 1.21(h)(i)) \$ _____

[] petition fee for filing by other than all the inventors or person on behalf of the inventor where inventor refused to sign or cannot be reached. 37 CFR 1.47 and 1.17 (h) \$ _____

Total fees enclosed \$ _____

8. Method of Payment of Fees

[] check in the amount of \$ _____

[] charge account No. _____ in the amount of \$ _____

A duplicate of this transmittal is attached.

NOTE: Fees should be itemized in such a manner that it is clear for which purpose the fees are paid. 37 CFR 1.22(b).

9. Authorization to Charge Additional fees

[] The Commissioner is hereby authorized to charge the following additional fees which may be required to Account No. _____:

[] 37 CFR 1.16 (filing fees and presentation of extra claims)

[] 37 CFR 1.17 (application processing fees)

[] 37 CFR 1.18 (issue fee at or before Mailing of Notice of Allowance, pursuant to 37 CFR 1.311(b))

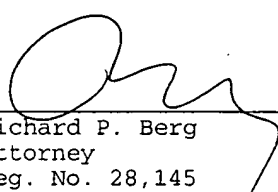
NOTE: 37 CFR 1.28(b) requires "Notification of any change in loss of entitlement to small entity status must be filed in the application...prior to paying... issue fee".

10. Instructions As To Overpayment

[] Credit Account No. _____ [] refund

c/o LADAS & PARRY
5670 Wilshire Boulevard
Suite 2100
Los Angeles, California 90036-5679

Telephone: (323) 934-2300
Telefax: (323) 934-0202


Richard P. Berg
Attorney
Reg. No. 28,145

Date: June 22, 2001

Commissioner of Patents and Trademarks
Box New Patent Application
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Sir:

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Application transmittal - Page 1 of 3

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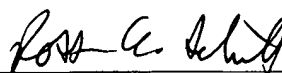
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Ross A. Schmitt
Attorney
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Telefax: (323) 934-0202